
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bruce H. Baretz, et al.

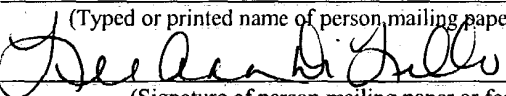
Title : "SOLID STATE WHITE LIGHT EMITTER AND DISPLAY USING
SAME"

U.S. Serial No.: New Continuation of Prior Copending U.S. Patent Application No.
08/621,937

Prior Application Filing Date: March 26, 1996

Prior Application Group Art Unit: 2815

Prior Application Examiner: Jerome Jackson, Jr.

"Express Mail" mailing label number: <u>EV178871039 US</u>
Date of Deposit: <u>July 18, 2003</u>
I hereby certify that this new patent application with filing fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop BOX PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
Lee Ann DiLello (Typed or printed name of person mailing paper or fee)
 (Signature of person mailing paper or fee)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

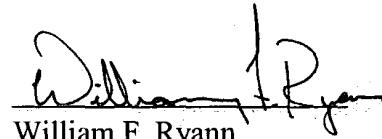
Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☐ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required in accordance with 37 CFR § 1.56 and § 1.97(b).
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application, which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
- ☐ c. Attached is our check no. ____ in the amount of \$240 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 50-0860 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check no. ____ in the amount of \$130.00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit Deposit Account No. ____ as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).

- ☒ 4. (other): The relevance of these references is that they were cited during the prosecution of the parent application. Copies of these references are already of record and, therefore, new copies are not being submitted along with this statement under 37 CFR 1.98(d).

Applicant does not believe that any additional fee is due in connection with the foregoing. However, any deficiencies may be charged to the deposit account 50-0860.

Respectfully submitted,



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FORM PTO-1449		US Dept. of Commerce Patent and Trademark Office		ATTORNEY DOCKET NO.		SERIAL NO.	
INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)				ATMI-198-CON		TBA	
				APPLICANT			
				Bruce Baretz			
				FILING DATE		GROUP	
				Herewith		TBA	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,405,709	4/11/1995	J.E. Littman, et al.	428	690	
	AB	4,992,704	2/12/1994	John W. Stinson	315	312	
	AC	5,583,349	12/1996	Norway	257	88	
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	AH	3,763,405	10/1973	Mitsuhata	257	98	
	AI	3,593,055	7/1971	Gensic	257	98	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION YES NO
							X (abstract only)
	AJ	04289691	10/14/1992	Japan			X
	AK	60170194	9/3/1985	Japan			X
	AL	5-152609	6/1993	Japan	257	98	
OTHER DOCUMENTS (Including Author, Title, Journal-Date, Page Number, Etc.)							
	AM	Zhang Jin Chao, et al., White Light Emitting Glasses, Journal of Solid State Chemistry, 93, 17-29 (1991), pp. 17-29.					
	AN	Shosaku Tanaka, et al., Birght-White Light Electroluminescence Based on Nonradiative Energy Transfer in Ce-and Eu doped SrS Thin Films, Appl. Phys. Lett. 51 (21), 23 November 1987, pp. 1661-1663.					
	AO	M. Berggren, et al., White Light from an Electroluminescent Diode Made from Poly [3(4-octylphenyl)-2,2'-bithiophene] and an Oxadiazole Derivative, J. Appl. Phys. 76 (11), 1 December 1994, pp. 7530-7534.					
	AP	J. Kido, et al., White Light Emitting Organic Electroluminescent Devices Using the poly(N-vinylcarbazole) Emitter Layer Deoped with Three Fluorescent Dyes, Appln. Phys. Lett., 64 (7) 14 February 1994, pp. 815-817.					
	AQ	N. El Jouhar, et al., White Light Generation Using Fluorecent Glasses Activated by Ce ³⁺ , Tb ³⁺ and MN ²⁺ Ions, J. De Physique IV, Colloque C2, supplelement au j. de Physique III, Vol. 2, Oct. 1992, pp. 257-260.					
Continue on Page 2							
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

